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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Section A

APPLICANT : Zachariah Cobrinik et al.
SERIAL NO. : 09/823,353
FILED : March 30, 2001
ATTY. DOCKET : 7056.026
TITLE : **SYSTEM AND METHOD FOR PROVIDING AN IMPROVED FINANCIAL DERIVATIVE PRODUCT**

REGULAR MAIL CERTIFICATE

Date of Deposit: July 11, 2001

I hereby certify that the following attached paper(s) and/or fee

- (1) A Copy of the Notice to File Missing Parts of Application;
- (2) An Executed Declaration for Patent Application;
- (3) A credit card payment form in the amount of \$130.00;
- (4) A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

by depositing same in a postage prepaid envelope in an official depository under the exclusive care and custody of the United States Postal Service under 37 C.F.R. Section 1.10 on the date indicated above and is addressed to the "Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231"

Respectfully submitted,
DREIER & BARITZ LLP



Paula M. Maleh

Dated: July 11, 2001

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/823,353	03/30/2001	Zachariah Cobrinik	7056.026

CONFIRMATION NO. 5341

FORMALITIES LETTER



OC00000006068304

Barry J. Schindler
499 Park Avenue
New York, NY 10022

Date Mailed: 05/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Reewon

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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